

What if a problem just feels hard to solve efficiently?

Eg: | k-SAT

Recall:

In: variables  $x_1, x_2, \dots, x_n$  and a formula

$F = C_1 \wedge C_2 \wedge \dots \wedge C_m$  of clauses, where

each  $C_i$  of the form  $\{y_1 \vee y_2 \vee \dots \vee y_k\}$

where  $y_j$  is either  $x_t$  or  $\neg x_t$  for some  $t$ .

Out:

A boolean assignment to  $\{x_1, \dots, x_n\}$  such that  $F$  evaluates to TRUE, or NO if  $F$  is not satisfiable.

Toy Example: 'spose  $n=3, m=2, k=3$ .

one potential input formula could be:

$$F = \{x_1 \vee x_2 \vee \neg x_3\} \wedge \{\neg x_1 \vee \neg x_2 \vee \neg x_3\}$$

What would we get as output here?

A) Let  $x_1 = \text{True}$ ,  $x_2 = \text{False}$ ,  $x_3 = \text{False}$ .

Then indeed,  $F$  is satisfiable.

$$(F = \{ \underset{\uparrow}{x_1} \vee \underset{\uparrow}{x_2} \vee \underset{\uparrow}{\neg x_3} \} \wedge \{ \underset{\uparrow}{\neg x_1} \vee \underset{\uparrow}{\neg x_2} \vee \underset{\uparrow}{\neg x_3} \} = T \wedge T = T \quad \checkmark)$$

$\begin{matrix} \uparrow & \uparrow & \uparrow & \uparrow & \uparrow & \uparrow \\ T & F & T & F & T & T \end{matrix}$

But hopefully you get the feel that this process might not scale well...

Naive Algorithm  $\rightarrow$  Try every assignment of  $x_i$ 's.  
( $2^n$  of them)

Turns out, best known algorithm is basically that.

$O(2^{n - (cn/k) \cdot d})$  time for constants  $c, d$ .

As  $k$  grows, this just goes to  $2^n$ .

Therein lies the classical motivation for the "Strong Exponential Time Hypothesis" (SETH)

SETH: For every  $\epsilon > 0$ , there is a  $k$  s.t.

$k$ -SAT on  $n$  variables,  $m$  clauses, cannot be solved in  $2^{(1-\epsilon)n}$  poly  $m$  time.

Another tricky problem:

Orthogonal vectors (OV)

OV:

In: Sets  $S, T$  of  $N$  vectors in  $\{0, 1\}^d$

Out: Are there  $u \in S, v \in T$  satisfying  $u \cdot v = 0$ ?

Strategy: Reduce an arbitrary instance of  $k$ -SAT as  $\frac{sn}{m}$  input to OV, whose solution is TRUE  $\Leftrightarrow$  satisfiable.

Eg:  $k$ -SAT input:  $(x_1 \vee x_2) \wedge (\neg x_1 \vee x_3 \vee x_4) \wedge (\neg x_2 \vee \neg x_4)$

(1) Split variables into sets:

$$V_1 = \{x_1, x_2\}, V_2 = \{x_3, x_4\}$$

(2) Consider the partial assignments for  $V_i$ : ( $2^{n/2}$  of them for  $j=1, 2$ )

For  $V_1$ :  $\{ [x_1=0, x_2=0], [x_1=0, x_2=1], [x_1=1, x_2=0], [x_1=1, x_2=1] \}$

For each partial assignment  $\phi$  of  $V_j$ , create  $(m+z)$  length vector  $v(j, \phi) =$  
 $\underbrace{0_i | 1_i}_V \dots$ 
  $m$  clauses  
 $V_j$  Basis  
0 if  $\phi$  satisfies the clause,  
1 else

Eg] For  $[0, 0] \in V_1$ , i.e.  $x_1 = 0, x_2 = 0$

$$v(j, \phi) = v(1, [0, 0]) = [0, 1, 1, 0, 1]$$

Rank: would be 1, 0 for all  $v(2, \phi)$

For all  $v(1, \phi)$

Recall SAT input:  $(x_1 \vee x_2) \wedge (\neg x_1 \vee x_3 \vee x_4) \wedge (\neg x_2 \vee \neg x_4)$

Thm:

$v(1, \phi) \cdot v(2, \psi) = 0$  iff  $\phi \circ \psi$  is a sat assignment.

$N = 2^{n/2}$  vectors of dimension  $d = O(m) \rightarrow$  OV instance.

so, a solution to OV in  $N^{2-\delta} \text{poly}(d)$  true for  $\delta > 0$

$\Rightarrow 2^{n(1-\frac{\delta}{2})} \text{poly}(m)$  sol'n to SAT, & SETH is false.

What have we done?

- 1) - Encountered  $k$ -SAT & SETH for hardness
- 2) - Encountered OV
- 3) - Reduced  $k$ -SAT to an instance of OV, thereby establishing hardness for OV according to SETH.